FORM FTO-1449	Atty. Docket No.: C382.12-0105	Serial No.: 10/046,659
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	Applicant:	-
	Kevin I. Bertness	
	Filing Date	Group Art:
	October 29, 2001	2838

	aminer itial	Document No. Date		Name	Class	Sub Class	Filing Date If Appropriate		
	AA	AA 2,514,745 7/11/50		Dalzell	171	95			
	AB	3,593,099	7/13/71	Scholl	320	13			
7	AC	3,607,673	9/21/71	Seyl	204	1			
1	AD	3,676,770	7/11/72	Sharaf et al.	324	29.5			
	AE	3,729,989	5/1/73	Little	73	133			
	AF	3,753,094	8/14/73	Furuishi et al.	324	29.5			
	AG	3,808,522	4/30/74	Sharaf	324	29.5			
	AH	3,811,089	5/14/74	Strezelewicz	324	170	\		
	AI	3,873,911	3/25/75	Champlin	324	29.5			
	AJ	3,886,443	5/27/75	Miyakawa et al.	324	29.5			
	AK	3,889,248	6/10/75	Ritter	340	249			
	AL	3,906,329	9/16/75	Bader	320	44			

FOREIGN PATENT DOCUMENTS

4.4			Document No.	Date	Country	Class	Sub Class	Translation Yes No			
	1	AM	59-17892	1/30/84	Japan	1	1	Abstract Only			
CN		AN	59-17893	1/30/84	Japan			Abstract Only			
V	/	AO	59-17894	1/30/84	Japan	1	\	Abstract Only			
			OTHER ART (Includ	ding Author,	Title, Date, Pertine	nt Pages	, Etc.)				
AP "Electrochemical Impedance Spectroscopy in Battery Development and Testing", Batteries International, April 1997, pgs. 59 and 62-63.											
(77	7/ 7	70	"Pattor" Impedance	u by F Wi	llibrary et al Fle	strical E	Ingineer	ing Sentember			

AQ "Battery Impedance", by E. Willihnganz et al., Electrical Engineering, September 1959, pgs. 922-925.

AR "Determining The End of Battery Life", by S. DeBardelaben, IEEE, 1986, pgs. 365-368.

AS "A look at the Impedance of a Cell", by S. Debardelaben, <u>IEEE</u>, 1988, pgs. 394-

EXAMINER/

DATE CONSIDERED: 4 June 03

EXAMINER: Initial of citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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FORM PTO-1449	Atty. Do t No.: C382.12-t=35	Serial No.: 10/046,659
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	Applicant:	
	Kevin I. Bertness	
	Filing Date	Group Art:
	October 29, 2001	2838

Exami Init:		Document No.	Date	Name	Class	Sub Class	Filing Date $\sqrt{1}$ f Appropriate		
	AT	3,909,708 9/30/75		Champlin	324	29.5			
Alla	AU	3,936,744	2/3/76	Perlmutter	324	158			
97	AV	3,946,299	3/23/76	Christianson et al.	320	43			
	AW	3,947,757	3/30/76	Grube et al.	324	28			
	AX	3,969,667	7/13/76	McWilliams	324	29.5			
	AY	3,979,664	9/7/76	Harris	324	17			
	AZ	3,984,762	10/5/76	Dowgiallo, Jr.	324	29.5			
	BA	3,984,768	10/5/76	Staples	324	62			
	BB	4,008,619	2/22/77	Alcaide et al.	73	398	\		
	ВC	4,053,824	10/11/77	Dupuis et al.	324	29.5			
	BD	4,070,624	1/24/78	Taylor	327	158			
	BE	4,086,531	4/25/78	Bernier	324	158			

FOREIGN PATENT DOCUMENTS

	,		Document No.	Date	Country	Class	Sub Class	Translation Yes No
	1/2	BF	60225078	11/9/85	Japan	1	\	Abstract Only
T	10	BG	04372536	12/25/92	Japan			Abstract Only
	/	вн	04131779	5/6/92	Japan		V	Abstract Only

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

	VL	BI	"The Impedance of Electrical Storage Cells", by N.A. Hampson et al., <u>Journal of Applied Electrochemistry</u> , 1980, pgs. 3-11.
ZY	V	BJ	"A Package for Impedance/Admittance Data Analysis", by B. Boukamp, Solid State <u>Ionics</u> , 1986, pgs. 136-140.
		ВК	"Predision of Impedance Spectroscopy Estimates of Bulk, Reaction Rate, and Diffusion Parameters", by J. Macdonald et al., <u>J. Electroanal, Chem.</u> , 1991, pgs.

EXAMINER: DATE CONSIDERED:

EXAMINER: Thit and if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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FORM PTO-1449	Atty. Do t No.: C382.12-015	Serial No.: 10/046,659			
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	Applicant:				
	Kevin I. Bertness				
	Filing Date	Group Art:			
	October 29, 2001	2838			

Examiner Initial		Document No.	Date	Date Name	Class	Sub Class			
Wh	BL	4,112,351	9/5/78	Back et al.	324	16	\		
	вм	4,114,083	9/12/78	Benham et al.	320	39)		
	BN	4,126,874	11/21/78	Suzuki et al.	354	60			
	во	4,178,546	12/11/79	Hulls et al.	324	158			
	BP	4,193,025	3/11/80	Frailing et al.	324	427			
	BQ	4,207,611	6/10/80	Gordon	364	580			
	BR	4,315,204	2/9/82	Sievers et al.	322	28			
	BS	4,316,185	2/16/82	Watrous et al.	340	636			
	вт	4,322,685	3/30/82	Frailing et al.	324	429			
	BU	4,363,407	12/14/82	Barkler et al.	209	3.3			
	BV	4,369,407	1/18/83	Korbell	324	416			
$\overline{\mathcal{N}}$	BW	4,412,169	10/25/83	Dell'Orto	320	64			

FOREIGN PATENT DOCUMENTS

		Document No.	Date	Country	Class	Sub Class	Translation Yes No
	вх	03282276	12/12/91	Japan	1	1	Abstract Only
2/1	вч	03274479	12/5/91	Japan			Abstract Only
	BZ	5216550	8/27/93	Japan			Abstract Only
V	CA	59017894	1/30/84	Japan	1	,	Abstract Only

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

СВ	"Internal	Resistance:	Harbinger	of C	apacit	y Loss	in	Starv	ed Electr	olyte	Sea]	Led
		Batteries, pp. 128,131	-	F.J	. et a	1., <u>A</u>	T&T	Bell	Laborator	ries, I	L987	IEEE,

citation considered, whether or not citation is in conformance with MPEP itation if not in conformance and not considered. Include copy of this EXAMINER 609; draw line to form with next communication to applicant.

DATE CONSIDERED:

EXAMINER:

			,								Sheet 4 of 16
FOR	M P	TO-14	49				• •		y. Do 2.12-0-5	No.:	Serial No.: 10/046,659
	-	LI	ST OF PATENTS APPLICAN DISCLOS	T'S IN	FORMA	TION	FOR	App	licant:		
								Kev	in I. Ber	tness	
								Fil	ing Date	-	Group Art:
								Oct	ober 29,	2001	2838
						J.S. PA	TENT DOCUMENTS				
	Examiner Document Initial No. Date			Dat	e		Name		Class	Sub Class	Filing Date If Appropriate
M	5	CC	4,390,828	6/28/	83	Conve	rse et al.		320	32	
EN		CD	4,392,101	7/5/8	3	Saar	et al.		320	20	
		CE	4,396,880	8/2/8	3	Windel	bank		320	21	
		CF	4,408,157	10/4/	83	Beaub	ien		324	62	
		CG	4,423,378	12/27	/83	Marin	o et al.		324	427	
		CH	4,423,379	12/27	/83	Jacob	s et al.		324	429	
		CI	4,424,491	1/3/8	4	Bobbe	tt et al.		324	433	
		CJ	4,459,548	7/10/	84	Lentz	et al.		324	158	
		СК	4,514,694	4/30/	85	Finge	r		324	429	
		CL	4,520,353	5/28/	85	McAul	iffe		340	636	
		CM	4,667,279	5/19/	87	Maier			363	46	
		CN	4,678,998	7/7/8	7	Muram	atsu		324	427	
		CO	4,679,000	7/7/8	7	Clark			324	428	
		CP	4,697,134	9/29/		1	m et al.		320	48	
		1			FC	REIGN	PATENT DOCUMENT	rs			
			Document	No.	D	ate	Country	•	Class	Sub Class	Translation Yes No
	_	CQ	EP 0 772 056	5 A1	5/7,	/97	EPO		1		Х
291		CR	EP 0 637 754	A1	2/8,	/95	EPO				х
		CS	2 088 159 A		6/3,		Great Britain		\ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \	, ,	х
OTHER ART (Including Author, Title, Dat							Title, Date, 1	Pert	inent Page	es, Etc.)	
MI		CT	Lead Storage	Batte	ries	for Ger	Maintenance, T nerating Statio gineers, Inc.,	ons a	and Substa	ations, Th	e Institute of
-4/1		CU	"Field and L	aborat	ory S	tudies	to Assess the	Stat	ce of Heal	lth of Val	ve-Regulated

Lead Acid Batteries: Part I Conductance/Capacity Correlation Studies", by D. Feder et al., <u>IEEE</u>, August 1992, pgs. 218-233. EXAMINER: DATE CONSIDERED:

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1449	Atty. Do t No.: C382.12-0105	Serial No.: 10/046,659
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	Applicant:	<u>-</u>
	Kevin I. Bertness	
	Filing Date	Group Art:
	October 29, 2001	2838

Exam: Init		Document No.	Date	Name	Class	Sub Class	Filing Date If Appropriate
200	CV	4,707,795	11/17/87	Alber et al.	364	550	\
410	CM	4,709,202	11/24/87	Koenck et al.	320	43	
	СХ	4,710,861	12/1/87	Kanner	363	46	
	CY	4,719,428	1/12/88	Liebermann	324	436	
	CZ	4,743,855	5/10/88	Randin et al.	324	430	
	DA	4,816,768	3/28/89	Champlin	324	428	
	DB	4,820,966	4/11/89	Fridman	320	32	
	DC	4,825,170	4/25/89	Champlin	324	436	
	DD	4,849,700	7/18/89	Morioka et al.	324	427	
	DE	4,881,038	11/14/89	Champlin	324	426	\
	DF	4,912,416	3/27/90	Champlin	324	430	
	DG	4,929,931	5/29/90	McCuen	340	636	\
	DH	4,931,738	6/5/90	MacIntyre et al.	324	435	
	DI	4,947,124	8/7/90	Hauser	324	430	
	DJ	4,956,597	9/11/90	Heavey et al.	320	14	
V	DK	4,968,941	11/6/90	Rogers	324	428	1
		<u> </u>	FC	REIGN PATENT DOCUMENTS			

Sub Translation Date Class Class Yes Document No. Country No Х DLWO 93/22666 11/11/93 WIPO DM WO 98/58270 12/23/98 WIPO Х

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

DN "Battery Impedance", by E. Willihnganz et al., Electrical Engineering, September 1959, pgs. 922-925.

"JIS Japanese Industrial Standard-Lead Acid Batteries for Automobiles", <u>Japanese Standards Association UDC</u>, 621.355.2:629.113.006, Nov. 1995.

EXAMINER DATE CONSIDERED: 4 June 03

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EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Sheet 6 of 16

FORM PTO-14	.49	Atty. Do t No.: C382.12-0-5	Serial No.: 10/046,659
. L1	ST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	Applicant:	
		Kevin I. Bertness	
		Filing Date	Group Art:
		October 29, 2001	2838

U.S. PATENT DOCUMENTS

Exami:		Document No.	Dat	е		Name	Cl	.ass	Sub Class			Date priate					
	DP	5,004,979	4/2/91	L	Marino	et al.	3	24	160	1							
201	DQ	5,032,825	7/16/9	91	Xuznio	cki	3	40	636								
	DR	5,047,722	9/10/9	91	Wurst	et al.	3	24	430								
	DS	5,087,881	2/11/9	92	Peaco	ck	3	24	378	, , , ,	\						
	DT	5,126,675	6/30/9	92	Yang		3	24	435								
	DU	5,140,269	8/18/9	92	Champ:	lin	3	24	433								
	DV	5,144,248	9/1/92	2	Alexar	ndres et al.	3	24	428								
	DW	5,170,124	12/8/9	92	Blair	et al.	3	24	434								
	DX	5,204,611	4/20/	93	Nor et	al.	3	20	21		1						
	DY	5,214,370	5/25/9	93	Harm 6	et al.	3	20	35								
	DZ	5,214,385	5/25/	93	Gabrie	el et al.	3	324	434								
1	EA	5,241,275	8/31/	93		Fang	Fang	Fang		3	324	430					
	EB	5,254,952	10/19,	/93		y et al.	324 324	324	429								
	EC	5,281,919	1/25/	94	Palan:	isamy		324	427								
	ED	5,281,920	1/25/	94	Wurst	Wurst	Wurst	Wurst	Wurst	Wurst		324	324	430			
	EE	5,295,078	3/15/	94	Stich	et al.	3	864	483								
	EF	5,298,797	3/29/	94	Redl		3	307	246								
	EG	5,300,874	4/5/9	4	Shimar	moto et al.	3	320	15								
V	EH	5,302,902	4/12/	94	Groeh:	1	3	324	434								
				FC	REIGN	PATENT DOCUMENTS				7		_					
		Document	No.	D	ate	Country		Class	Sub Class	Т	ransl Yes	lation No					
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FORM PTO-	1449	Atty. Do No.: C382.12-0105	Serial No.: 10/046,659
	LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	Applicant:	
		Kevin I. Bertness	
		Filing Date	Group Art:
		October 29, 2001	2838

Examiner Initial		Document No.	Date	e	•	Name	Class	Sub Class	Filing Date If Appropriate											
	EK	5,331,268	7/19/9	4	Patino	o et al.	320	20												
AU	EL	5,336,993	8/9/94		Thomas	s et al.	324	158.1												
1,	EM	5,352,968	10/4/9	4	Reni e	et al.	320	35												
	EN	5,343,380	8/30/9	94	Champ:	lin	363	46												
	EO	5,365,160	11/15/	94	Leppo	et al.	320	22												
	EP	5,365,453	11/15/	94	Startı	up et al.	364	481												
	EQ	5,381,096	1/10/9	95	Hirze:	1	324	427												
	ER	5,426,371	6/20/9	5	Salle	y et al.	324	429												
	ES	5,432,426	7/11/9	95	Yoshi	da	320	20												
1	ET	5,434,495	7/18/9	95	Toko		320	44												
1	EU	5,442,274	8/15/9	95	Tamai		320	23												
	EV	5,457,377	10/10/	95	5 Jonsson	Jonsson	Jonsso	Jonsso	Jonsso	Jonsso	Jonsso	Jonsso	Jonsso	Jonsso	Jonsso	Jonsso	on	320	5	
	EW	5,449,996	9/12/9	2/95 Matsumo		Matsumoto et al.	320 320	20												
	EX	5,449,997	9/12/9	95	Gilmore et al.			39												
	EY	5,485,090	1/16/9	96	Steph	ens	324	433												
	EZ	5,519,383	5/21/9	96	De La	Rosa	340	636												
	FA	5,528,148	528,148 6/18/96 Rogers 324	/96 Rogers	324	324 426														
	FB	5,550,485	8/27/9	96	Falk		324	772												
d/	FC	5,561,380	10/1/9	96	Sway-	Tin et al.	324	509												
				FC	REIGN	PATENT DOCUMENTS	· · · · · · · · · · · · · · · · · · ·		······································											
		Document	No.	D	ate	Country	Clas	Sub S Class	Translation Yes No											

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OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

DATE CONSIDERED: **EXAMINER** 4/UNE 03

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EXAMINER Tritial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

,			Sheet 8 Of 16
FORM PTO-1449		Atty. Do No.: C382.12-0105	Serial No.: 10/046,659
LIST	OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	Applicant:	
		Kevin I. Bertness	
		Filing Date	Group Art:
		October 29, 2001	2838

	iner tial	Document No.	Dat	e		Name	C1	ass	Sub Class	Filing Date If Appropriate
	FF	5,562,501	10/8/9	96 Ki	nosh	ita et al.	4	39	852	
20	FG	5,572,136	11/5/9	96 Ch	ampl	in	3	24	426	
	FH	5,574,355	11/12/	/96 Mc	Shan	e et al.	3	20	39	
1	FI	5,585,728	12/17,	/96 Ch	ampl	in	3	24	427	
	FJ	5,592,093	1/7/97	7 K1	ingb	iel	3	24	426	
	FK	5,596,260	1/21/9	97 Mo	rave	c et al.	3	20	30	
	FL	5,598,098	1/28/9	97 Ch	ampl	in	3	24	430	
	FM	5,602,462	2/11/9	97 St	ich	et al.	3	23	258	
	FN	5,606,242	2/25/9	97 Hu	ıll e	t al.	3	20	48	
	FO	5,621,298	4/15/9	97 Ha	rvey		3	20	5	
	FP	5,642,031	6/24/	97 Br	otto		3	20	21	
	FQ	5,650,937	7/22/	97 Bo	Bounaga	ounaga	364	64	483	•
	FR	5,652,501	7/29/	9/97 McCl		McClure et al.		20	17	
$\neg \vdash$	FS	5,675,234	10/7/	97 Gr	Greene Faulk		320	20	15	
$\neg \vdash$	FT	5,677,077	10/14	/97 Fa			4	29	90	
\top	FU	5,699,050	12/16	/97 Ka	naza	wa	340	40	636	
	FV	5,701,089	12/23,	/97 Pe	erkin	S	3	27	772	
	FW	5,717,336	2/10/	98 Ba	sell	et al.	3	24	430	
Λ	FX	5,757,192	5/26/	98 Mc	Shan	e et al.	3	24	427	
	<u> </u>			FOREI	IGN P	ATENT DOCUMENTS				
		Document	No.	Date		Country		Class	Sub Class	Translation Yes No

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OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

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DATE CONSIDERED: 4 June 05 **EXAMINER:**

EXAMINER: Initial of citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Sheet 9 of 16

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FORM PTO-1449		al No.: 046,659
. LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	Applicant:	
	Kevin I. Bertness	
	Filing Date Grou	ıp Art:
	October 29, 2001	2838

U.S. PATENT DOCUMENTS

Examiner Initial	Document No.	Date	Name	Class	Sub Class	Filing Date If Appropriate
GA	5,773,978	6/30/98	Becker	324	430	
GB	5,789,899	8/4/98	van Phuoc et al.	320	30	/
GC	5,793,359	8/11/98	Ushikubo	345	169	
GD	5,821,756	10/13/98	McShane et al.	324	430	
GE	5,831,435	11/3/98	Troy	324	426	
GF	5,872,443	2/16/99	Williamson	320	21	
GG	5,914,605	6/22/99	Bertness	324	430	/
GH	5,945,829	8/31/99	Bertness	324	430	
GI	3,356,936	12/5/67	Smith	324	29.5	
GJ	5,488,300	1/30/96	Jamieson	324	432	
GK	6,002,238	12/14/99	Champlin	320	134	
GL	6,037,777	3/14/00	Champlin	324	430	/
GM	6,051,976	4/18/00	Bertness	324	426	
GN	6,081,098	6/27/00	Bertness et al.	320	134	
GO	6,091,245	7/18/00	Bertness	324	426	/
GP	6,104,167	8/15/00	Bertness et al.	320	132	/
GQ	6,137,269	10/24/00	Champlin	320	150	1
		F	OREIGN PATENT DOCUMENT	S		1
					Sub	Translation

Class Class Yes Document No. Date Country No Х GR DE 29 26 716 B1 1/15/81 Germany Х GS 1/21/81 EPO 0 022 450 A1

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

GT | "Performance of Dry Cells", by C. Hambuechen, Preprint of Am. Electrochem. Soc., April 18-20, 1912, paper No. 19, pgs. 1-5.

"A Bridge for Measuring Storage Battery Resistance", by E. Willihncanz, The Electrochemical Society, preprint 79-20, April 1941, pgs. 253-258.

EXAMINER DATE CONSIDERED: 4 JULES O

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EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

•			Sheet 10 of 16
FORM PTO-1449		Atty. Do No.: C382.12-0155	Serial No.: 10/046,659
API	ATENTS AND PUBLICATIONS FOR PLICANT'S INFORMATION ISCLOSURE STATEMENT	Applicant:	
		Kevin I. Bertness	
		Filing Date	Group Art:
		October 29, 2001	2838
	U.S. PATENT DOCU	MENTS	

	iner	Document		U.S. PATENT DOCUMENTS		Sub	Filing Date
Init	tial	No.	Date	Name	Class	Class	If Appropriate
	GV	3,562,634	2/9/71	Latner	31	04	1
411	GW	4,379,990	4/12/83	Sievers et al.	322	99	
	GX	5,144,218	9/1/92	Bosscha	320	44	
	GY	5,315,287	5/24/94	Sol	340	455	
	GZ	5,469,043	11/21/95	Cherng et al.	320	31	
	НА	5,546,317	8/13/96	Andrieu	364	481	
	нв	5,583,416	12/10/96	Klang	320	22	
	HC	5,589,757	12/31/96	Klang	320	22	. /
	HD	5,656,920	8/12/97	Cherng et al.	320	31	1
	HE	5,710,503	1/20/98	Sideris et al.	320	6	
	HF	5,760,587	6/2/98	Harvey	324	434	
	HG	5,808,469	9/15/98	Kopera	324	43.4	
	нн	6,008,652	12/28/99	Theofanopoulos et al.	324	434	
	HI	6,009,369	12/28/99	Boisvert et al.	701	99	
	НJ	6,031,354	2/29/00	Wiley et al.	320	116	
	нк	6,037,751	3/14/00	Klang	320	160	
	HL	6,072,299	6/6/00	Kurie et al.	320	112	17
	НМ	6,094,033	7/25/00	Ding et al.	320	132	1/
	HN	6,163,156	12/19/00	Bertness	324	426	1/
	но	6,172,483	1/9/01	Champlin	320	134	
./	HP	6,172,505	1/9/01	Bertness	324	430	
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-46.		Document No.	Date	Country	Class	Sub Class	Translation Yes No
All C	HQ	2 749 397	12/5/97	France			Х
A	HR	59215674	12/5/84	Japan			Abstract Only

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

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EXAMINED:

DATE CONSIDERED:

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EXAMINER: If citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

				Sheet II Of 16
FORM	PTO-1449	•	Atty. Dd t No.: C382.12-015	Serial No.: 10/046,659
	LIST	OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	Applicant:	
			Kevin I. Bertness	
			Filing Date	Group Art:
			October 29, 2001	2838

	miner itial	Document No.	Date	Name	Class	Sub Class	Filing Date If Appropriate
	нт	3,989,544	11/2/76	Santo	429	65	
	HU	4,745,349	5/17/88	Palanisamy et al.	320	22	1
	HV	4,876,495	10/24/89	Palanisamy et al.	320	18	
	HW	4,937,528	6/26/90	Palanisamy	324	430	
	нх	4,968,942	11/6/90	Palanisamy	324	430	
	НУ	5,179,335	1/12/93	Nor	320	21	
	нż	5,266,880	11/30/93	Newland	320	14	
	IA	5,451,881	9/19/95	Finger	324	433	
	IB	5,705,929	1/6/98	Caravello et al.	324	430	
	IC	5,717,937	2/10/98	Fritz	395	750.01	
	ID	5,862,515	1/19/99	Kobayashi et al.	702	63	
	IE	5,895,440	4/20/99	Proctor et al.	702	63	
	IF	5,929,609	7/27/99	Joy et al.	322	25	
	IG	6,072,300	6/6/00	Tsuji	320	116	
	IH	4,659,977	4/21/87	Kissel et al.	320	64	
	II	4,913,116	4/3/90	Katogi et al.	123	425	
	IJ	5,160,881	11/3/92	Schramm et al.	322	7	
\neg	IK	5,338,515	8/16/94	Dalla Betta et al.	422	95	
	IL	5,537,967	7/23/96	Tashiro et al.	123	792.1	
	IM	5,548,273	8/20/96	Nicol et al.	340	439	
	/ IN	5,747,909	5/5/98	Syverson et al.	310	156	

0		Document No.	Date	Country	Class	Sub Class	Translation Yes No
	IO	62-180284	8/7/87	Japan			Abstract
THE STATE OF THE S	IP	7-128414	5/19/95	Japan			Abstract

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

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EXAMINER: Initially of tation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

				Sheet 12 of 16
FORM	PTO-1449		Atty. Do No.: C382.12-02-5	Serial No.: 10/046,659
	LIST	OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	Applicant:	
			Kevin I. Bertness	
			Filing Date	Group Art:
			October 29, 2001	2838
		II S DATENT DOCIN	MENTS	

Exami Init		Document No.	Date	Name	Class	Sub Class	Filing Date If Appropriate
	IR	6,114,834	9/5/00	Parise	320	109	1
	IS	6,150,793	11/21/00	Lesesky et al.	320	104	
. 1	IT	3,876,931	4/8/75	Godshalk	324	29.5	
	IU	5,347,163	9/13/94	Yoshimura	307	66	
	IV	5,939,861	8/17/99	Joko et al.			
	IW	5,339,018	8/16/94	Brokaw	320	35	
	IX	5,412,323	5/2/95	Kato et al.	324	429	
	IY	5,426,416	6/20/95	Jefferies et al.	340	664	
	IZ	5,825,174	10/20/98	Parker	324	106	
	JA	5,939,855	8/17/99	Proctor et al.	320	104	
	JВ	5,254,952	10/19/93	Salley et al.	324	429	
	JC	4,217,645	8/12/80	Barry et al.	364	483	
	JD	4,633,418	12/30/86	Bishop	364	554	
	JE	5,321,626	6/14/94	Palladino	364	483	
	JF	5,969,625	10/19/99	Russo	340	636	
	JG	6,222,369	4/24/01	Champlin	324	430	
	JH	6,225,808	5/1/01	Varghese et al.	324	426	
	JI	6,249,124	6/19/01	Bertness	324	426	
	JJ	6,259,254	7/10/01	Klang	324	427	
	JK	6,262,563	7/17/01	Champlin	320	134	
	JL	6,294,896	9/25/01	Champlin	320	134	
V	JM	6,294,897	9/25/01	Champlin	320	153	1/

	Document No.	Date	Country	Class	Sub Class	Translation Yes No
JN	4-8636	1/13/92	Japan			X (Abstract)
JO	63927776	2/5/88	Japan			Abstract Only

EXAMINER: Initial of ditation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

				Sheet 13 of 16
FORM	PTO-1449		Atty. Do t No.: C382.12-015	Serial No.: 10/046,659
	LIST (OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	Applicant:	
			Kevin I. Bertness	
			Filing Date	Group Art:
			October 29, 2001	2838
_	•	U.S. PATENT DOCUM	MENTS	

Examiner		Document No.	Date	Name	Class	Sub Class	Filing Date If Appropriate
	JP	4,379,989	4/12/83	Kurz et al.	320	26	
Ar	JQ	4,680,528	7/14/87	Mikami et al.	320	32	
	JR	5,633,985	5/27/97	Severson et al.	395	2.76	
	JS	5,739,667	4/14/98	Matsuda et al.	320	5	
	JT	4,024,953	5/1997	Nailor, III	206	344	
	JU	6,250,973	6/2001	Lowery et al.	439	763	
	JV	6,254,438	7/2001	Gaunt	439	755	-
	JW	6,304,087	10/16/01	Bertness	324	426	
	JX	6,310,481	10/30/01	Bertess	324	430	
	JY	6,313,607	11/6/01	Champlin	320	132	
	JZ	6,313,608	11/6/01	Varghese et al.	32	132	
	KA	6,316,914	11/13/01	Bertness	320	134	
	KB	5,435,185	7/25/95	Eagan	73	587	
	KC	5,445,026	8/29/95	Eagan	73	591	
	KD	4,667,143	5/19/87	Cooper et al.	320	22	
	KE	4,847,547	7/11/89	Eng, Jr. et al.	320	35	/
7,	KF	5,574,355	11/12/96	McShane et al.	320	39	/
V	KG	4,663,580	5/5/87	Wortman	320	35	/

		Document No.	Date	Country	Class	Sub Class	Translation Yes No
	КH	WO 99/23738	5/14/99	WIPO			Х
A.	ΚΙ	WO 94/05069	3/3/94	PCT			Х

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

AH	KJ	National Semiconductor Corporation, "High Q Notch Filter", 3/69, Linear Brief 5, March 1969.
29"	KK	Burr-Brown Corporation, "Design A 60 Hz Notch Filter with the UAF42", 1/94, AB-
V	KL	National Semiconductor Corporation, "LMF90-4 th -Order Elliptic Notch Filter", 12,94, RRD-B30M115, December 1994.

DATE CONSIDERED:

EXAMINER: Init of it citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

				Sheet 14 Of 16
FORM PTO	0-1449	•	Atty. Do No.:	Serial No.: 10/046,659
`	LIST (OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	Applicant:	
			Kevin I. Bertness	
			Filing Date	Group Art:
			October 29, 2001	2838
		**	247277770	

				U.S. PATENT DOCUMENTS			<u> </u>	
	miner tial	Document No.	Date	Name	Class	Sub Class	Filing Date If Appropriate	
XXII	км	6,323,650	11/27/01	Bertness et al.	324	426		
201	KN	6,329,793	12/11/01	Bertness et al.	320	132		
	ко	6,331,762	12/18/01	Bertness	320	134		
1	KP	6,332,113	12/18/01	Bertness	702	63		
	KQ	4,297,639	10/27/81	Branham	324	429		
	KR	5,653,659	8/5/97	Kunibe et al.	477	111	/	
	KS	6,161,640	12/19/00	Yamaguchi	180	65.8		
	KT	4,351,405	6/28/82	Fields et al.	180	65		
	KU	6,359,441	3/16/02	Bertness	324	426		
	KV	4,047,091	9/1997	Hutchines et al.	363	59		
	KW	5,653,659	8/1997	Kunibe et al.	477	111		
	KX	5,637,978	6/1997	Kellett et al.	320	2		
	KY	5,095,223	3/1992	Thomas	307	110		
	KZ	5,194,799	3/1993	Tomantschger	320	2	1/	
	LA	5,754,417	5/1998	Nicollini	363	60	1	
1	, LB							

	Document No.	Date	Country	Class	Sub Class	Translation Yes No
LC						
LD						

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

	LE	"Alligator Clips with Wire Penetrators" J.S. Popper, Inc. product information, downloaded from http://www.jspopper.com/, undated
Light	LF	"#12: LM78S40 Simple Switcher DC to DC Converter", ITM e-Catalog, downloaded from http://www.pcbcafe.com, undated
V	LG	"Simple DC-DC Converts Allows Use of Single Battery", Electronix Express, downloaded from http://www.elexp.com/t_dc-dc.htm, undated

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		Blicce 15 G1			
FORM PTO-1449	Atty. Docket No.: C382.12-0105	Serial No.: 10/046,659			
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	Applicant:	Applicant:			
	Kevin I. Bertness				
	Filing Date	Group Art:			
	October 29, 2001	2838			
U.S. PATENT DOC	UMENTS				

				U.S. PATENT DOCUMENTS			
Examiner Initial		Document No.	Date	Name	Class	Sub Class	Filing Date If Appropriate
	LH	5,818,234	10/1998	McKinnon	324	433	
	LI	6,140,797	10/2000	Dunn	320	105	
1	LJ	6,181,545	1/2001	Amatucci et al.	361	502	
1	LK	6,346,795	2/2002	Haraguchi et al.	320	136	
	LM	6,347,958	2/2002	Tsai	439	488	
	LN	6,351,102	2/26/02	Troy	320	139	
	ro	6,359,441	3/19/02	Bertness	324	426	
	LP	6,363,303	3/26/02	Bertness	701	29	
	LQ	5,037,778	8/6/91	Stark et al.	437	216	
	LR	6,144,185	11/7/00	Dougherty et al.	320	132	
	LS	5,711,648	1/27/98	Hammerslag	414	786	1
	LT	5,927,938	7/27/99	Hammerslag	414	809	
	LU	5,951,229	9/14/99	Hammerslag	414	398	/
	LV	5,961,561	10/5/99	Wakefield, II	701	29	
	ΓM	5,961,604	10/5/99	Anderson et al.	709	229	
	LX	6,236,332	5/22/01	Conkright et al.	340	825.06	
-4	ГĀ	6,351,102	2/26/02	Troy	320	139	
	LZ						
1	MA						

	Document No.	Date	Country	Class	Sub Class	Translation Yes No
MB						

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

MC "DC-DC Converter Basics", <u>Power Designers</u>, downloaded from http://www.powederdesigners.com/InforWeb.design_center/articles/DC-DC/converter.shtm, undated

MD

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EXAMINER: Initial if Citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1449	Atty. Docket No.: C382.12-0105	Serial No.: 10/046,659	
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	Applicant:		
	Kevin I. Bertness		
	Filing Date	Group Art:	
	October 29, 2001	2838	

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				U.S. PATENT DOCUMENTS			
	miner tial	Document No. Date Name		Class	Sub Class	Filing Date If Appropriate	
AM	ME	4,665,370	5/1987	Holland	324	429	<i>j</i> .
SUT	MF	4,361,809	11/1982	Bil et al.	324	426	
	MG	6,392,414	5/21/02	Bertness	324	429	
	MH	6,417,669	7/9/02	Champlin	324	426	
	MI	6,424,158	7/23/02	Klang	324	433	
	MJ	6,441,585	8/17/02	02 Bertness		132	
	MK	6,445,158	9/3/02	Bertness et al.	320	104	
	ML	6,384,608	5/7/02	Namaky	324	425	
	ММ	6,388,448	5/14/02	Cervas	324	426	
	MN	6,307,349	10/23/01	Koenck et al.	320	112	
V	МО	6,411,098	6/25/02	Laletin	324	436	
	MP						
	MQ						
	MR						
	MS						
	МТ						

			Document No.	Date	Country	Class	Sub Class	Translation Yes No
$\overline{\nearrow}$		MU	RU 2089015-C1	8/27/97	Russia			Abstract Only
A	JY -	MV	09061505	3/7/97	Japan			Abstract Only
		MW	10056744	2/24/98	Japan			Abstract Only

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